

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

~~S/~~In re Application of:

HIKARU HOSHI

Application No.: 09/871,638

Filed: June 4, 2001

For: DIFFRACTIVE OPTICAL
ELEMENT AND OPTICAL
SYSTEM

Examiner: F. Assaf

Group Art Unit: 2872

June 9, 2004

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and in accordance with the practice under 37 C.F.R. §§ 1.97 and 1.98, the Examiner's attention is directed to the documents listed on the enclosed Form PTO-1449. Copies of the listed documents are also enclosed.

Applicant submits that the Japanese language documents may be considered relevant inasmuch as they were cited in an Office Action in a counterpart Japanese patent application. A translation of that Office Action is enclosed for the Examiner's convenience. In addition, English language abstracts have been provided for those Japanese language documents, which abstracts were obtained from a commercial database.

Applicant also notes that the cited English language documents, including European Patent Application 0 902 304, U.S. Patent Application Publication US 2001/0015848, and U.S. Patent No. 6,262,846, are in the same patent family as Japanese Patent Laid-Open Application Nos. 11-84118, 2000-98118, and 11-44808, respectively. In

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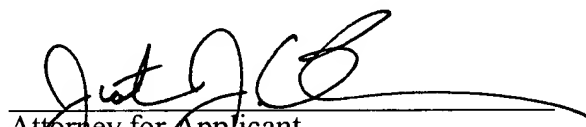
addition, Applicant notes that Japanese Laid-Open Application No. 11-64616 is listed in the Japanese Office Action but not listed on the attached Form PTO-1449, inasmuch as that document is already of record.

It is respectfully requested that the above information be considered by the Examiner and that a copy of the enclosed Form PTO-1449 be returned indicating that such information has been considered.

We also enclose a check for the required fee of \$180.00 to cover the Information Disclosure Statement under 37 C.F.R. 1.97(c)(2). Any deficiency in or overpayment of this fee should be charged or credited to Deposit Account No. 06-1205.

Applicant's undersigned attorney may be reached in our Washington D.C. office by telephone at (202) 530-1010. All correspondence should continue to be directed to our address given below.

Respectfully submitted,



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JJO/tmm

FORM PTO 1449 (modified) U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE LIST OF REFERENCES CITED BY APPLICANT (Use several sheets if necessary) Date Submitted: June 9, 2004				ATTY DOCKET NO. 03500.015411		APPLICATION NO. 09/871,638	
APPLICANT HIKARU HOSHI				FILING DATE June 4, 2001		GROUP 2872	

OFFICE
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 PATENT & TRADEMARK OFFICE

U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		6,262,846	07/17/01	Nakai			
		2001/15848	08/23/01	Nakai			

FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES/NO/ OR ABSTRACT
		11-044808	02/1999	Japan			Abstract
		2000-098118	04/2000	Japan			Abstract
		0 902 304	03/1999	Europe			
		11-084118	03/1999	Japan			Abstract

OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)		

EXAMINER	DATE CONSIDERED
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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